

03/27/01
1050 U.S. PTO

03-28-01

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Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 24349.00

First Inventor or Application Identifier Trevor Robert Maclean, et al.

Title Apparatus and Method of Facilitating the Exchange...

Express Mail Label No. EL 432 043 697 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Specification [Total Pages 39]
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. Drawing(s) (35 U.S.C. 113) [Total Sheets 30]
4. Oath or Declaration [Total Pages]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
 - i. DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

5. Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Copy
 - b. Paper Copy (identical to computer copy)
 - c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. Assignment Papers (cover sheet & document(s))
8. 37 C.F.R. § 3.73(b) Statement Power of
(when there is an assignee) Attorney
9. English Translation Document (if applicable)
10. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS
Citations
11. Preliminary Amendment
12. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
 - * Small Entity Statement filed in prior application.
 - 13. Statement(s) Status still proper and desired
(PTO/SB/09-12)
 - 14. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
 - 15. Other:

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

Continuation Divisional Continuation-in-part (CIP) of prior application No:

Prior application information: Examiner Group / Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

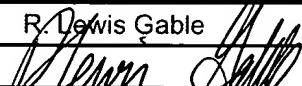
17. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or Correspondence address below

Name	R. Lewis Gable				
	Cowan, Liebowitz & Latman, P.C.				
Address	1133 Avenue of the Americas				
	City	New York	State	NY	Zip Code
Country	USA	Telephone	(212) 790-9200		Fax (212) 575-0671

Name (Print/Type)	R. Lewis Gable	Registration No. (Attorney/Agent)	22,479
Signature		Date	March 27, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

jc997 U.S. PRO
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FEE TRANSMITTAL

for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 755.00)

Complete if Known

Application Number	To be assigned
Filing Date	To be assigned
First Named Inventor	Trevor Robert Maclean, et al.
Examiner Name	
Group Art Unit	
Attorney Docket No.	24349.00

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

03-3415

Deposit Account Number
Deposit Account Name
Cowan, Liebowitz & Latman, P.C.

Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

Applicant claims small entity status.
See 37 CFR 1.27

12. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
		101	710	201 355 Utility filing fee	355.00
		106	320	206 160 Design filing fee	
		107	490	207 245 Plant filing fee	
		108	710	208 355 Reissue filing fee	
		114	150	214 75 Provisional filing fee	

SUBTOTAL (1) (\$ 355.00)

2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims	20	-20** = 0 X 0.00	= 0.00
Independent Claims	13	- 3** = 10 X 40.00	= 400.00
Multiple Dependent			

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
103	18	203 9 Claims in excess of 20
102	80	202 40 Independent claims in excess of 3
104	270	204 135 Multiple dependent claim, if not paid
109	80	209 40 ** Reissue independent claims over original patent
110	18	210 9 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 400.00)

*or number previously paid, if greater; For Reissues, see above

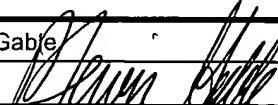
3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105	130	205 65 Surcharge - late filing fee or oath			
127	50	227 25 Surcharge - late provisional filing fee or cover sheet			
139	130	139 130 Non-English specification			
147	2,520	147 2,520 For filing a request for ex parte reexamination			
112	920*	112 920* Requesting publication of SIR prior to Examiner action			
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action			
115	110	215 55 Extension for reply within first month			
116	390	216 195 Extension for reply within second month			
117	890	217 445 Extension for reply within third month			
118	1,390	218 695 Extension for reply within fourth month			
128	1,890	228 945 Extension for reply within fifth month			
119	310	219 155 Notice of Appeal			
120	310	220 155 Filing a brief in support of an appeal			
121	270	221 135 Request for oral hearing			
138	1,510	138 1,510 Petition to institute a public use proceeding			
140	110	240 55 Petition to revive - unavoidable			
141	1,240	241 620 Petition to revive - unintentional			
142	1,240	242 620 Utility issue fee (or reissue)			
143	440	243 220 Design issue fee			
144	600	244 300 Plant issue fee			
122	130	122 130 Petitions to the Commissioner			
123	50	123 50 Processing fee under 37 CFR 1.17(q)			
126	180	126 180 Submission of Information Disclosure Stmt			
581	40	581 40 Recording each patent assignment per property (times number of properties)			
146	710	246 355 Filing a submission after final rejection (37 CFR § 1.129(a))			
149	710	249 355 For each additional invention to be examined (37 CFR § 1.129(b))			
179	710	279 355 Request for Continued Examination (RCE)			
169	900	169 900 Request for expedited examination of a design application			
Other fee (specify) _____					

*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	R. Lewis Gable	Registration No. (Attorney/Agent)	22,479	Telephone	(212) 790-9200
Signature				Date	March 27, 2001

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